

JC19 Rec'd PCT/PTO 06 JUL 2001

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

46342-56241

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/869901

INTERNATIONAL APPLICATION NO.
PCT/JP99/00024

INTERNATIONAL FILING DATE
January 6, 2000

PRIORITY DATE CLAIMED
January 7, 1999

TITLE OF INVENTION

PROCESS FOR PRODUCING ACTIVATED PROTEIN

APPLICANT(S) FOR DO/EO/US

Takashi Ito

Tomoko Kaisho

Yoko Tanaka

Osamu Nishimura

Following items and other information:

Under 35 U.S.C. 371.

71(f)). The submission must include items (5),

Article 31).

International Bureau).

Receiving Office (RO/US).

371(c)(2)).

(35 U.S.C. 371 (c)(3))

International Bureau).

b. ☐ have been communicated by the International Bureau.

c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.

d. ☐ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).

10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).

12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

15. ☐ A **FIRST** preliminary amendment.

16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.

17. ☐ A substitute specification.

18. ☐ A change of power of attorney and/or address letter.

19. ☒ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).

21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

22. ☒ Certificate of Mailing by Express Mail

23. ☒ Other items or information:

Sequence Listing; PCT/RO/101, PCT/RO/105; PCT/IB/301; PCT/IB/304; PCT/IPEA/408; PCT/IB/332; PCT/IB/308;
Published PCT Application No. WO00/40610

**TRANSMITTAL LETTER TO THE
UNITED STATES RECEIVING OFFICE**

| | |
|-------------------------------|------------------------|
| Date | 09/869901 July 2001 |
| International Application No. | PCT/JP99/00024 |
| Attorney Docket No. | 46342-56241 |

I. Certification under 37 CFR 1.10 (if applicable)

JC18 Rec'd PCT/PTO 0 6 JUL 2001

| |
|-----------------------------|
| EL895417710 |
| Express Mail mailing number |

| |
|-----------------|
| July 6, 2001 |
| Date of Deposit |

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

| |
|--|
| <i>Louise M. Rappaport</i> |
| Signature of person mailing correspondence |

| |
|--|
| Louise M. Rappaport |
| Typed or printed name of person mailing correspondence |

II. ☒ New International Application

| | |
|-------|---|
| TITLE | PROCESS FOR PRODUCING ACTIVATED PROTEIN |
|-------|---|

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|--|
| Earliest priority date (Day/Month/Year) |
| January 7, 1999 |

SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☒ The invention disclosed was **not** made in the United States.
- B. ☒ There is no prior U.S. application relating to this invention.
- C. ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority).

| | | | |
|-----------------|--|----------|--|
| application no. | | filed on | |
| application no. | | filed on | |

- D. ☐ The present international application ☐ is identical ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C.
- E. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is (are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

| | | | | | |
|-------|--|-----------------------------|-------|--|-----------------|
| pages | | of the request (PCT/RO/101) | pages | | of the figures |
| pages | | of the description | pages | | of the abstract |
| pages | | of the claims | | | |

- D. ☐ Submission of Priority Documents

| | | | |
|-------------------|--|-------------------|--|
| Priority document | | Priority document | |
|-------------------|--|-------------------|--|

- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☒ A Sequence Listing Diskette

V. ☒ Other (please specify): PCT/RO/101 and 105; PCT/IB/301 and 304; PCT/IPEA/408; PCT/IB/332 and 308

The person
signing this
form is the:

| | |
|--|-------------------------------------|
| <input type="checkbox"/> Applicant | David G. Conlin |
| <input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 27026 | Typed name of signer |
| <input type="checkbox"/> Common Representative | <i>David G. Conlin</i> Signature |